

## Investor Survey Privacy Statement

Last updated: July, 2022

### **Introduction**

Investor Survey is an offering of PwC Product Sales LLC, (“PwC”), a member of the PricewaterhouseCoopers global network of firms. Each PricewaterhouseCoopers member firm is a separate legal entity. For further details, please see [www.pwc.com/structure](http://www.pwc.com/structure).

PwC is committed to protecting your privacy. This privacy statement explains our information collection practices with respect to Investor Survey (the “Application”) and how we use, share, and protect that information. Note, this privacy statement applies solely to the Application and does not apply to other PwC websites or applications.

Investor Survey uses Product IAM, an Identity and Access Management System that enables PwC to give you access to Investor Survey. For Product IAM user registration, PwC collects your first and last name, your phone number, email address, your organization, and your country of residence. By using Investor Survey and providing personal information for Product IAM, you acknowledge you have read and acknowledge [Product IAM’s Privacy Statement](#).

### **Information Collection**

When you access or otherwise use our Application, we will collect information about you and how you interact with our Application. We collect information about you through: (1) information you provide (2) your device; and (3) third parties, namely your employer or other company, institution, or organization with which you are affiliated, as applicable (your “Organization”); and SimpleCirc, used for collecting inquiries about the application for purchase.

### **Information You Provide**

When you access the Application, you may choose to provide information about yourself such as: identifiers and contact information including your name, email address, company name, and telephone number. By way of example, you may choose to provide your information in the following circumstances:

- Inquiring about the application for purchase
- Paying via check
- To register or otherwise access Investor Survey
- For login and password retrieval
- Contacting us for further information

If you choose to pay for your subscription to the application via check, we collect and retain a physical copy of your check for our records.

By entering your phone number, you agree that PwC may text or call you at the phone number you have provided to verify your identity or notify you. Message or data rates may apply.

PwC does not intend to collect sensitive personal information about you through the Application. Sensitive personal information includes a number of types of data relating to: race or ethnic origin; political opinions; religious or other similar beliefs; trade union membership; physical or mental health;

sexual life or criminal record. Please do not submit sensitive personal information through the .Application.

### ***Automatically Collected Information***

We may use online identification technologies, such as cookies, localstorage, web beacons, or pixels “(Cookies”) on our Application. The information we collect using these technologies includes identifiers and internet activity information such as: browser type and access time. We use these technologies to compile aggregated statistics about visitors who interact with PwC online content, to gauge the effectiveness of the content, and to better provide more pertinent information to our subscribers. For more information about the Cookies used on the Application, please review our Cookie Notice below.

PwC also uses third-party analytics providers, which may set Cookies in your browser or device. Application activity information collected by these analytics providers, such as login activity and usage within the Application, may be combined with personal information held by PwC. For more information about these third-party tools, please review our Cookie Notice below.

### ***Information from Third Parties***

We may collect the following information about you in connection with the Application from SimpleCirc in order to process your subscription request and initially register you to the application: your name, email address, telephone number, job title, company name, business address, business type, and preferred method of payment. We do not control third-party service provided websites and encourage you to review their privacy policy by visiting the SimpleCirc [Privacy Policy](#).

When you initially choose to purchase the application, you may choose to provide credit card information to a third party processor, Stripe, who collects and processes this credit card information. We do not control third-party service provided websites and encourage you to review their privacy policy by visiting the Stripe [Privacy Policy](#) for more information. PwC does not collect credit card information in connection with Investor Survey. Credit card information will not be stored within the PwC system environment.

### **Information Use**

We use the information we collect as described above for various purposes depending on the context in which we collected such information. For example, it may be necessary for us to process your information in order to process a transaction or provide a service you have requested or otherwise in accordance with a contract between us, or we may process your information in accordance with your prior consent (where you have provided such consent).

We use the information we collect for the following business purposes:

- Initially registering you to the application
- For security, identity authentication/verification, and access management purposes;
- To operate, maintain, customize, analyze and improve the Investor Survey;
- To maintain and improve the safety and security of the Investor Survey, and to run our internal operations;
- To prevent and enhance protection against fraud, spam, harassment, intellectual property infringement, crime, and security risks;
- To comply with law, rules, regulations, legal obligations, professional and industry standards, as well as respond to and complying with subpoena, search warrant or other legal process, including establishing, exercising, or defending our legal rights;

- To fulfill your orders/requests and respond to your inquiries;
- To send you service-related notifications or communications;
- To conduct customer satisfaction surveys;
- To improve our products and services and explore ways to develop and grow our business;
- To send communications about PwC products, services, and events, and to facilitate events with PwC and third parties.

We may also use the information we collect to create aggregate or anonymized information, which we may use to build upon and improve the Application and our other products and services as well as for other lawful business purposes.

## **Information Sharing**

As we may be administering this Application to you on behalf of your Organization, we may share the information we collect through the Application with your Organization. Each Organization using the Application is responsible for its handling of information collected by the Application in accordance with its internal policies and applicable law.

We are part of a global network of firms. In common with other professional service providers, PwC may transfer or disclose the information it collects, including your information, to third party contractors, subcontractors, subsidiaries, and/or other PwC firms for the purposes for which you have submitted the information and for the administration of our system or Application and/or other internal, administrative purposes. We transfer your information to third party service providers of identity management, website hosting and management, data analysis, data backup, security, and storage services.

PwC may also disclose information to third parties under the following circumstances:

- When explicitly requested by a user;
- As needed to deliver publications or reference materials requested by a user;
- As necessary to comply with law, rules, regulations, legal obligations, professional and industry standards, as well as respond to and complying with subpoena, search warrant or other legal process, including establishing, exercising, or defending our legal rights;
- As necessary in connection with a corporate reorganization, merger, sale, joint venture or other disposition of all or any portion of our business, assets or capital.

We may also share aggregate information about Application users with third parties for any lawful business purpose. We do not sell personal information collected in connection with the Application nor do we disseminate personal information collected in connection with the Application to outside parties for consumer marketing purposes or host mailings on behalf of third parties.

## **Information Transfer**

Your information may be transferred outside of the U.S. or your country of residence. This includes to countries outside the European Economic Area (“EEA”) and to countries that do not have laws that provide the same degree of protection for personal information. In accordance with applicable legal requirements, we take appropriate measures to facilitate adequate protection for any information so transferred.

Where we transfer personal information outside of the EEA to a country or framework not determined by the European Commission as providing an adequate level of protection for personal information, the transfers will be under an agreement which covers European Union requirements for such transfers, such

as standard contractual clauses. The European Commission approved standard contractual clauses are available [here](#).

Although not currently relied upon as an authorized mechanism for cross border transfers, PricewaterhouseCoopers LLP and its affiliated U.S. subsidiaries also adhere to the privacy principles in the EU - U.S. Privacy Shield Framework and the Swiss - U.S. Privacy Shield Framework as set forth by the U.S. Department of Commerce. To learn more, see our [Privacy Shield Policy](#).

## **Information Retention**

We will retain the personal information collected by us through the Application for as long as is necessary for the purpose(s) for which it was collected as set out in this privacy statement, provided that personal information may be held for longer periods where extended retention periods are required by law, regulation or professional standards, and in order to establish, exercise or defend our legal rights.

## **Your Choices**

### ***Unsubscribe***

Should you wish to unsubscribe from our mailing list or any registrations, we will provide instructions in an FAQ document provided in the navigation bar at the top of the screen, in addition to providing support via Engagement Messenger icon available in the bottom right corner of the screen, for any further queries in communications to you; or you may contact us at: [us-pwcsurvey@pwc.com](mailto:us-pwcsurvey@pwc.com).

### ***Managing Cookies***

If you are concerned about cookies, most browsers permit individuals to decline cookies. In most cases, you may refuse or delete one or more cookies and still access the Application, but the Application functionality may be impaired. After you finish browsing this Application, you may delete Application cookies from your system if you wish. For more information about how to manage your cookie preferences, please review our Cookie Notice below.

### ***Do Not Track***

Do Not Track (“DNT”) is a privacy preference that you can set in your web browser to send a message to the website operator requesting not to be tracked. Currently, we do not respond to these DNT signals. For more information about DNT, visit <https://allaboutdnt.com/>.

### ***Access***

You may review your personal information by logging into the Application and visiting your account profile page. If you would like to update your information or request to have outdated information removed, please contact us at: [us-pwcsurvey@pwc.com](mailto:us-pwcsurvey@pwc.com).

### ***Rights***

Depending on the jurisdiction in which you are located, you may have certain rights with respect to your personal information. Subject to applicable law, these rights may include the right to:

- Know what personal information about you we have collected, disclosed, and/or sold, as applicable;
- Obtain a copy of your personal information;
- Delete your personal information;

- Direct us not to sell your personal information (but as noted above, we do not sell personal information collected about you in connection with the Application);
- Correct or update your personal information;
- Restrict the processing of your personal information;
- Object to the processing of your personal information;
- Withdraw your consent for processing (where processing is based on your consent). Please note that if you withdraw your consent, we may not be able to provide you the services for which it was necessary to process your information based on your consent; and
- Exercise your privacy rights without discriminatory treatment from us.

To exercise any of the rights described above, please submit a verifiable request to us by either using our [request form](#) or calling us at 1-888-438-4427.

The verifiable request must describe your request with sufficient detail that allows us to properly understand, evaluate, and respond to it. Further, you must provide us with sufficient information that allows us to reasonably verify you are the person about whom we collected personal information or an authorized representative. Please, however, refrain from sending us sensitive personal information. Where permitted by law, you may use an authorized agent to submit a request on your behalf if you have provided the authorized agent signed permission to do so.

We may not be able to fulfill your request if we cannot verify your identity or authority to make the request and confirm the personal information relates to you. Making a verifiable request does not require you to create an account with us. We will only use personal information provided in a verifiable request to verify the requestor's identity or authority to make the request.

We will respond to your request within a reasonable timeframe in accordance with applicable law. We reserve the right to charge an appropriate fee for complying with a request where permitted by applicable law, and to deny a request where it may be manifestly unfounded, excessive, or unwarranted under applicable law.

We hope that you won't ever need to, but if you do want to complain about our use of personal information, please send us a message with the details of your complaint using our [general contact form](#). You may also contact our EU Representative, PwC Malta, by completing our [EU Representative contact form](#). Applicable laws may also give you the right to lodge a complaint with the data protection authority in your country.

## **Security**

PwC has implemented generally accepted standards of technology and operational security designed to protect personal information from unauthorized access, loss, misuse, alteration, or destruction. Only authorized PwC personnel and the third parties described in this privacy statement are provided access to personal information and these employees and third parties have agreed to maintain the confidentiality of this information.

## **Third Party Sites**

This Application may link to other websites which do not operate under PwC's privacy practices. When you link to other websites, PwC's privacy practices no longer apply. We encourage you to review the privacy statement of each website you visit before disclosing any personal information.

### **Children**

PwC understands the importance of protecting children's privacy, especially in an online environment. The Application is not intentionally designed for or directed at children under the age of 13 years. It is PwC's policy never to knowingly collect or maintain personal information about children under the age of 13.

### **Modifications**

PwC may update this privacy statement at any time by publishing an updated version here. You can access the most current version of this privacy statement at any time on this Application.

### **Contact Us**

If you have questions about this privacy statement or about our privacy practices, please contact us at [us-pwcsurvey@pwc.com](mailto:us-pwcsurvey@pwc.com).

## Cookie information

This cookie information is relevant for visitors to Investor Survey. For more information about how we process your personal information collected through Investor Survey, please review our Investor Survey privacy statement.

Cookies are small text files that are placed on your device by the websites and applications that you visit. They are widely used in order to make websites and apps work, or work more efficiently, as well as to provide information to the owners of the site or app. The use of cookies and similar technologies is now standard for most websites and apps.

### Managing cookies on your device

You can control and manage cookies using your browser settings as well as certain opt-out tools (see below). Please note that if you are accessing Investor Survey using multiple browsers or devices, you will need to manage cookies on each browser and device, as applicable. Please also note that removing or blocking cookies can impact your user experience and some functionality may no longer be available.

### Using your browser to control cookies

Most browsers allow you to view, manage, delete and block cookies for a website or application. Be aware that if you delete all cookies then any preferences you have set will be lost, including the ability to opt-out from cookies as this function itself requires placement of an opt out cookie on your device. Guidance on how to control cookies for common browsers is linked below.

[Google Chrome](#)  
[Mozilla Firefox](#)  
[MacOS Safari](#)  
[Microsoft Internet Explorer](#)  
[Microsoft Edge](#)

### Opt-out tools to control cookies

Some of the third parties that collect information from or about you on PwC sites or apps may participate in the Digital Advertising Alliance's ("DAA") self-regulatory program. To make choices about the use of information from or about you for online behavioral or interest-based advertising on websites (desktop and mobile browsers), please visit the [DAA](#), and within mobile applications, you can download the DAA's AppChoices application from your mobile device at [www.aboutads.info/appchoices](http://www.aboutads.info/appchoices) or from your device's app store.

You can set your browser to block or alert you about these cookies, but some parts of Investor Survey may no longer work.

## Use of cookies

Below is a detailed list of the cookies we use on Investor Survey. We classify the use of cookies into the following categories: (1) necessary; (2) performance and functionality. You can find more information about each category in the relevant sections below. For each cookie listed below, we also note the duration of the cookie and identify whether it is a first party or third party cookie. Please note:

**‘First party’** cookies are directly stored by the website (or domain) you visit. These cookies allow website owners to collect analytics data, remember language settings, and perform other useful functions that provide a good user experience.

**‘Third party’** cookies are created by domains that are not the website (or domain) that you are visiting. These are usually used for analytics and/or online-advertising purposes and placed on a website through scripts or tags. A third-party cookie is accessible on any website that loads the third party server’s code.

### Necessary cookies

These cookies are necessary for the Investor Survey to function and cannot be switched off in our systems. They are usually only set in response to actions made by you which amount to a request for services, such as setting your privacy preferences, logging in or filling in forms.

You can set your browser to block or alert you about these cookies, but some parts of the Investor Survey may no longer work.

| Cookie Name   | Host    | Cookie Type | Cookie Duration |
|---------------|---------|-------------|-----------------|
| amlbcookie    | pwc.com | Session     | Browser session |
| incap_ses_*   | pwc.com | Session     | Browser session |
| JSESSIONID    | pwc.com | Session     | Browser session |
| visid_incap_* | pwc.com | Persistent  | 1 year          |
| XSRF-TOKEN    | pwc.com | Session     | Browser session |

### Performance and functionality cookies

These cookies are used to enhance the performance and functionality of the Investor Survey but are non-essential to its use. For example, these cookies may allow us to collect Investor Survey performance and error data, enable customer support for users, and enable localized



dates/times). They may be set by us or by our third-party providers, including **Pendo** , whose services we have added to the Investor Survey.

If you do not allow these cookies, we will not be able to monitor the performance of the application and certain functionality may become unavailable.

| Cookie Name  | Host     | Cookie Type | Cookie Duration |
|--|----------|-------------|-----------------|
| _pendo_accountId.YOUR_SUBSCRIPTION_KEY_VALUE             | pendo.io | Session     | 100 Days        |
| _pendo_visitorId.YOUR_SUBSCRIPTION_KEY_VALUE             | pendo.io | Session     | 100 Days        |
| log-enabled  | pendo.io | Session     | Session         |
| _pendo_launcher_closed.YOUR_SUBSCRIPTION_KEY_VALUE       | pendo.io | Session     | 10Days          |
| debug-enabled  | pendo.io | Session     | Session         |
| _pendo_meta.YOUR_SUBSCRIPTION_KEY_VALUE                  | pendo.io | Session     | 100 Days        |
| _pendo_lastStepAdvanced.YOUR_SUBSCRIPTION_KEY_VALUE      | pendo.io | Session     | 10 Seconds      |
| _pendo_latestDismissedAutoAt.YOUR_SUBSCRIPTION_KEY_VALUE | pendo.io | Session     | 10 Seconds      |
| _pendo_finalDismissedAutoAt.YOUR_S                       | pendo.io | Session     | 10 Seconds      |

| UBSCRIPTION_KEY_VALUE                |          |         |                         |
|--------------------------------------|----------|---------|-------------------------|
| active-contexts                      | pendo.io | Session | 100 Days                |
| ventures_sid                         | pwc.com  | Session | Browser session         |
| AMAuthCookie                         | pwc.com  | Session | Authentication duration |
| PiamIgCookie<br>PiamIgCookieCORS     | pwc.com  | Session | Browser session         |
| Amlbcookiepiam<br>amlbcookiepiamCORS | pwc.com  | Session | Browser session         |
| OAUTH_REQUEST_ATTRIBUTES             | pwc.com  | Oath2   | 5 min                   |
| remember-email                       | pwc.com  | Session | Browser Session         |